

# Plastic modulation of PTSD resting-state networks and subjective wellbeing by EEG neurofeedback

Kluetsch RC, Ros T, Théberge J, Frewen PA, Calhoun VD, Schmahl C, Jetly R, Lanius RA. Plastic modulation of PTSD resting-state networks by EEG neurofeedback.

**Objective:** Electroencephalographic (EEG) neurofeedback training has been shown to produce plastic modulations in salience network and default mode network functional connectivity in healthy individuals. In this study, we investigated whether a single session of neurofeedback training aimed at the voluntary reduction of alpha rhythm (8–12 Hz) amplitude would be related to differences in EEG network oscillations, functional MRI (fMRI) connectivity, and subjective measures of state anxiety and arousal in a group of individuals with post-traumatic stress disorder (PTSD).

**Method:** Twenty-one individuals with PTSD related to childhood abuse underwent 30 min of EEG neurofeedback training preceded and followed by a resting-state fMRI scan.

**Results:** Alpha desynchronizing neurofeedback was associated with decreased alpha amplitude during training, followed by a significant increase ('rebound') in resting-state alpha synchronization. This rebound was linked to increased calmness, greater salience network connectivity with the right insula, and enhanced default mode network connectivity with bilateral posterior cingulate, right middle frontal gyrus, and left medial prefrontal cortex.

**Conclusion:** Our study represents a first step in elucidating the potential neurobehavioural mechanisms mediating the effects of neurofeedback treatment on regulatory systems in PTSD. Moreover, it documents for the first time a spontaneous EEG 'rebound' after neurofeedback, pointing to homeostatic/compensatory mechanisms operating in the brain.

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Key words: electroencephalogram; neurofeedback; post-traumatic stress disorder; functional MRI; functional connectivity

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## Significant outcomes

- This is the first study to show that key brain networks (salience and default mode networks) involved in mediating affect and cognition in post-traumatic stress disorder (PTSD) can be volitionally modulated by electroencephalographic (EEG) neurofeedback with measurable outcomes on immediate subjective wellbeing.
- Post-neurofeedback, a significant alpha rhythm rebound, was linked to increased calmness and greater salience network connectivity with the right insula and enhanced default mode network connectivity with bilateral posterior cingulate, right middle frontal gyrus, and left medial prefrontal cortex.
- Individuals with PTSD were successful in reducing their mean alpha amplitude during neurofeedback as compared to a resting EEG baseline recorded before the training session.

### Limitations

- A sham-control group was not included for ethical reasons, so future studies will need to ascertain the specificity of the neurofeedback effects.
- The findings cannot be generalized to individuals who experienced single-incident trauma or to individuals suffering from combat-related PTSD.
- We scanned participants within 30 min after completing the neurofeedback training session, therefore limiting our findings to short-term plasticity effects. Future studies will need to address how such changes generalize to longer time-scales following repeated applications of this neurofeedback protocol.

### Introduction

Post-traumatic stress disorder (PTSD) is characterized by symptoms of re-experiencing, cognitive and behavioural avoidance, emotional numbing, and hyperarousal (1). More generally, individuals with PTSD often face difficulties in attention and arousal regulation, emotional/self-awareness, and social-emotional processing (2–6). Research increasingly lends support to the view that the aforementioned processes strongly depend on the functional and structural integrity of large-scale neural networks which are thought to be compromised in psychopathology (7–9). Previous investigations have focused on the ‘salience network’ (SN), anchored by the anterior insula and dorsal anterior cingulate cortex (dACC) (10), and the ‘default mode network’ (DMN), which includes the posterior cingulate cortex (PCC), precuneus, medial prefrontal cortex (mPFC), and lateral temporal cortices (11, 12). Many of these same network nodes (e.g., dACC, mPFC, insula, amygdala) are implicated in the neurocircuitry of PTSD (13, 14). In addition, network analyses employing functional magnetic resonance imaging (fMRI) during the resting-state (15, 16) and various cognitive-emotional tasks (17, 18) have revealed alterations in the functional connectivity of the SN and DMN in patients with PTSD. Specifically, patients with PTSD have previously shown altered SN connectivity with the insula (18, 19), which has been implicated in salience detection (10), interoceptive awareness (20, 21), and the switching between different large-scale networks, thought to facilitate adaptive shifting between externally and internally oriented cognitive and affective processing (22, 23). Moreover, recent studies suggest that the successful control and regulation of threat-related information, which is also impaired in patients with PTSD, may depend on the integrity of functional connections within the SN (17, 24, 25). With regard to the DMN, which is thought to play a crucial role in self-referential and social-emotional

processing (26, 27), patients with PTSD have previously shown reduced DMN connectivity between the posterior cingulate cortex/precuneus and the medial prefrontal cortex (15, 16). Thus, finding a means to modify network connectivity may be a beneficial step in the amelioration of PTSD patients’ observed deficits in the aforementioned functions.

Electroencephalographic (EEG) neurofeedback training is one approach to non-invasively modulate brain network dynamics (28). While specific cortical oscillations would normally defy voluntary control due to our lack of awareness thereof, providing someone with real-time feedback from their EEG can enable them to learn to reliably influence their neuronal activity. For instance, previous studies have shown that activity in the alpha band can be successfully synchronized and/or desynchronized (i.e., increased and/or decreased) by naïve participants through neurofeedback and that this may be beneficial in the treatment of anxiety and attention problems (29–32). The human alpha rhythm is defined as electrical oscillations in the 8- to 12-Hz range, most prominently expressed over posterior regions during a state of resting wakefulness with eyes closed (33, 34). In particular, a desynchronization (reduction) of the alpha rhythm has been linked to directed attention and cognitive processing (35). Recent simultaneous EEG-fMRI studies have further related spontaneous fluctuations in EEG alpha power to blood-oxygenation-level-dependent (BOLD) fMRI signal changes in the aforementioned brain networks thought to mediate salience detection, attention allocation, and self-referential processing (36–40).

Importantly, we recently demonstrated that the functional connectivity of the SN and DMN could be plastically altered following a single session of alpha desynchronization neurofeedback (28). Compared to a sham-feedback group, participants receiving veridical feedback showed an increase in connectivity within the SN, mainly involving the dACC, and a positive correlation between alpha

rhythm resting-state changes and DMN connectivity. These plastic connectivity changes were detected during an fMRI session held approximately 30 min after termination of training.

We propose that examining the neurobehavioural correlates of self-regulating alpha amplitude represents a first step in elucidating the neurobehavioural mechanisms potentially mediating the effects of a full course of neurofeedback treatment in PTSD. Given recent reports linking alpha oscillations to BOLD activity in large-scale brain networks (36–41) and previous findings of neurofeedback-related connectivity changes within the SN and DMN in healthy participants (28), we anticipated that alpha desynchronization would be associated with plastic alterations in DMN and SN connectivity in a group of individuals with PTSD related to childhood abuse. Specifically, we hypothesized to find differences between the two fMRI scans in the connectivity patterns of the SN and DMN with brain regions previously implicated in PTSD, such as the insula, ACC/medial prefrontal cortex, and PCC/precuneus and that the magnitude of these differences would be related to a participant’s performance during the neurofeedback.

Aims of the study

Based on our findings in healthy participants, we designed a proof-of-principle study to assess the impact of alpha desynchronizing neurofeedback in patients with post-traumatic stress disorder related to childhood abuse. Our goal was to investigate possible short-term changes in resting-state functional magnetic resonance imaging connectivity within the salience network (SN) and default mode network (DMN) as well as related changes in Electroencephalogram network oscillations and subjective measures of state anxiety and arousal following a single session of neurofeedback training aimed at the voluntary reduction of alpha rhythm (8–12 Hz) amplitude.

Material and methods

Participants

The study was approved by the Research Ethics Board of the University of Western Ontario. We included 21 participants (18 female; mean age = 39.86 years, SD = 13.69 years) who all met the DSM-IV (1) criteria for a primary diagnosis of PTSD. All participants had experienced childhood sexual and/or physical abuse. Axis I diagnoses were assessed by a trained psychologist using the

Structured Clinical Interview for DSM-IV Axis I Disorders (42) and the Clinician-Administered PTSD Scale (cut-off score >50) (43). All participants further completed the Childhood Trauma Questionnaire (44). Exclusion criteria comprised a lifetime diagnosis of a psychotic disorder, bipolar disorder, substance use disorders within the last 6 months, a history of head trauma, serious medical or neurological illness, and any counter-indications for MRI. Eleven participants were currently taking psychotropic medications including citalopram (2), fluoxetine (3), sertraline (1), clonazepam (3), trazodone (1), zopiclone (1), clozapine (1), quetiapine (1), cipralex (3), and mirtazapine (1). Participants were recruited by advertisements in the community and within the healthcare network in London, Ontario. After receiving a detailed description of the experimental procedures, all participants provided written informed consent to participate in the study. Demographic and psychometric data are summarized in Table 1.

Experimental procedures

Following a detailed diagnostic assessment conducted on a separate day, the experimental protocol consisted of three sequential parts that occurred within the same daytime visit: i) MRI scan before neurofeedback, ii) EEG neurofeedback,

Table 1. Demographic and psychometric data

|   | Patients with PTSD (n = 21)  |
|---|--|
| Age, mean ± SD, years                   | 39.9 ± 13.7  |
| CAPS score, mean ± SD                   | 80.62 ± 14.01  |
| CTQ Emotional abuse score, mean ± SD    | 17.48 ± 5.57   |
| CTQ Physical abuse score, mean ± SD     | 11.05 ± 5.30   |
| CTQ Sexual abuse score, mean ± SD       | 15.29 ± 8.21   |
| CTQ Emotional neglect score, mean ± SD  | 16.33 ± 5.22   |
| CTQ Physical neglect score, mean ± SD   | 12.57 ± 5.49   |
| Axis I comorbidity (current [past] No.) | Major depressive disorder (8 [10])<br>Dysthymic disorder (1)<br>Panic disorder with agoraphobia (1 [3])<br>Panic disorder without agoraphobia (3 [2])<br>Agoraphobia without panic disorder (2 [1])<br>Social phobia (3)<br>Obsessive–compulsive disorder (0 [1])<br>Somatization disorder (2 [1])<br>Undifferentiated somatoform disorder (5)<br>Anorexia nervosa (0 [3])<br>Bulimia nervosa (1 [1])<br>Eating disorder NOS (1 [1]) |

PTSD, post-traumatic stress disorder; SD, standard deviation; CAPS, Clinician-Administered PTSD Scale; CTQ, Child Trauma Questionnaire; NOS, not otherwise specified.

and iii) MRI scan after neurofeedback. Including time for detailed instructions and setup, the total experiment lasted about 3 h, with approximately 30 min between the respective MRI and neurofeedback sessions. Immediately before and after the neurofeedback, participants completed Spielberger's State Anxiety Inventory (STAI) (45) and Thayer's Activation-Deactivation Adjective Checklist (32) to measure state anxiety and arousal. After the feedback training, we also asked participants whether they had had a sense of control over the neurofeedback game, what strategy, if any, they found effective for gaining points during the game, and how the experience made them feel.

Unlike in our study in healthy individuals (28), we decided not to include a sham-feedback procedure for ethical reasons, so as not to augment any feelings of frustration and failure often experienced by patients with PTSD (46). Moreover, there is some evidence (47) suggesting that effective learning of voluntary control over brain rhythms may be compromised after receiving false feedback, making it more difficult to learn from real feedback in the future. Without a clear mean of mitigating this issue, we elected a within-subject study design.

#### EEG neurofeedback paradigm

We used the same EEG neurofeedback training protocol as described in our previous study in healthy participants (28). Briefly, the EEG session took place outside of the MRI room and consisted of a 3-min baseline, followed by 30 min of neurofeedback and another 3-min baseline immediately after training. For the duration of the (feedback-free) baseline recordings, participants were asked to relax with their eyes open, refrain from excessive eye movements, and gaze at a blank wall. During the neurofeedback session, participants attempted to suppress real-time alpha (8–12 Hz) amplitude, which was recorded from midline parietal cortex (electrode Pz). Rather than feeding back the global alpha signal averaged across multiple electrodes, which may lead to a mixing of local cortical dynamics, we decided to feedback the signal recorded from Pz for neurofeedback control (28). We selected this electrode based on its location overlying a major hub of the DMN, namely the PCC/pre-cuneus, whose BOLD signal changes have been linked to EEG alpha rhythm modulations (38, 39).

All participants interacted with a 'SpaceRace' game where they received continuous visual feedback in the form of a moving spaceship and a dynamic bar graph whose height was inversely proportional to real-time alpha amplitude fluctuations.

Participants were told that the spaceship would move forward whenever they were 'in-the-zone' of their target brain activity (i.e., alpha lower than threshold), and that it would stop when they were 'out-of-the-zone' (i.e., alpha higher than threshold). The aim of the training was to use the feedback they received during the game to learn to keep the spaceship traveling through space. However, to prevent demand characteristics from affecting the results of the training, participants neither received any explicit instructions or mental strategies from the experimenter on how to achieve control over their spaceship nor were they informed about the type of EEG parameter or frequency that was being rewarded. Likewise, neither the letter of information nor the informed consent form contained any indication of the behavioural hypotheses or the specific EEG parameter/frequency that participants were supposed to regulate. Instead, they were told that our research group was interested in whether individuals with and without PTSD could learn to control their brain activity and if so, how they would go about achieving it.

For the purpose of online neurofeedback training, the EEG signal was infinite impulse response band-pass filtered to extract alpha with an epoch size of 0.5 s. Participants were rewarded upon suppression of their absolute alpha amplitude. For each participant, the reward threshold was initially set so that their alpha amplitude would fall below the initial 3-min baseline average approximately 60% of the time (i.e., they received negative-feedback about 40% of the time). To ensure that all participants received comparable frequencies of reward, we readjusted their reward thresholds to meet the desired ratio, when they achieved disproportionately higher (>80%) or lower (<40%) rates of reward during feedback. The readjustments were then made at the beginning of the next training period based on the EEG of the preceding 30 s (28). The entire neurofeedback session was divided into 3-min training periods with a short break (10 s) after each period. During the breaks, their score for the preceding period was displayed, and participants were asked whether they wanted to continue.

#### EEG recording and preprocessing

Using a Mitsar amplifier (Mitsar-201, CE0537, Mitsar, Ltd., Saint Petersburg, Russia) and WINEEG software (Version 2.84.44, Mitsar Ltd.), a standardized 19-channel EEG according to the International 10–20 System was recorded at a sampling rate of 250 Hz, using a linked-earlobe referential

montage. Low- and high-pass filters were set to 0.5 and 40 Hz, respectively, with a 55–65 Hz notch filter. Recorded EEG was analyzed offline using a weighted average Laplacian reference montage. Elimination of artifacts was performed by visual inspection and artifact correction procedures based on independent component analysis (ICA) decomposition and spatial filtering. Impedance at all channels was reduced to below 5 kOhms.

#### EEG spectral analysis

Given our *a priori* hypotheses, we calculated EEG spectral amplitudes offline via short-time Fourier transform in 4-s epochs (50% overlapping with Hanning window) in the alpha frequency (8–12 Hz) band only. To test whether participants had significantly lowered their absolute alpha amplitude during neurofeedback compared to the first (pre-neurofeedback) baseline, paired *t*-tests were conducted between absolute alpha amplitudes averaged over the first baseline and absolute alpha amplitudes averaged over the entire neurofeedback session. Next, to determine whether participants had plastically changed their absolute alpha amplitude from the first to the second (post-neurofeedback) baseline, paired *t*-tests were conducted between absolute alpha amplitudes averaged over the first and second baseline EEG respectively.

For each participant, we also normalized alpha (de)synchronization values by estimating the percentage signal change using the ratio of the average alpha amplitude during neurofeedback to the average alpha amplitude during the first baseline (subsequently referred to as ‘training alpha change’). This was also carried out for the ratio of the average alpha amplitude during the second baseline to the average alpha amplitude during the first baseline and referred to it as ‘resting alpha change’. With changes in alpha amplitude thus normalized values  $>0$  denote a relative per cent increase in alpha amplitude, while values  $<0$  mark a percent decrease. To further examine the relationship between the absolute alpha amplitude during baseline 1, ‘training alpha change’ and ‘resting alpha change’, we individually computed Pearson product moment correlations between the two change scores and the absolute alpha amplitude for baseline 1 using SPSS (IBM Corporation, Armonk, NY, USA). To test whether the correlation results would be qualitatively different for local vs. global changes in alpha amplitude, the indices of alpha amplitude were first derived from the neurofeedback electrode (Pz) alone and second, as an average across all 19 electrodes (global).

#### fMRI paradigm

Imaging data were acquired using a 3-Tesla Siemens Magnetom Verio scanner with a 32-channel phase array head coil. During each MRI session (pre- and post-neurofeedback, respectively), participants underwent a high-resolution T1-weighted anatomical scan, followed by a 6-min resting-state functional scan, for which they were instructed to close their eyes, relax, and let their mind wander as previously described by Fox et al. (48) and Bluhm et al. (15). High-resolution T1-weighted structural images were acquired using a 3-D magnetization-prepared rapid acquisition gradient-echo sequence with TR = 2000 ms, TE = 4 ms, TI = 900 ms, flip angle = 9°, field of view = 256 mm × 256 mm, 1-mm isotropic resolution, 176 slices, no gap, GRAPPA acceleration = 2. Whole-brain T2-weighted functional images were obtained using a gradient-echo-planar imaging sequence with TR = 3000 ms, TE = 20 ms, flip angle = 90°, field of view = 256 mm, voxel size = 2 × 2 × 2 mm<sup>3</sup>. Sampling consisted of 60 interleaved slices, slice thickness = 2 mm, no gap, parallel to the anterior commissure–posterior commissure line. The first four images of each run were automatically discarded to minimize T1 equilibration effects.

Image preprocessing was performed in SPM8 (<http://www.fil.ion.ucl.ac.uk/spm/>) following methods previously described (28). Briefly, the imaging data were subjected to slice-timing correction, motion correction, spatial normalization, and smoothing using a full-width at half-maximum (FWHM) Gaussian filter of 8 mm. Data were normalized using the unified segmentation on T1 image pipeline (49) and finally resliced to 3-mm isotropic voxels in Montreal Neurological Institute (MNI) space.

#### fMRI connectivity analysis

Group spatial ICA (50) was performed using the GIFT toolbox (v1.3i, <http://mialab.mrn.org/>) in MATLAB 7.6 (Mathworks Inc., MA, USA). To facilitate comparisons between subjects and sessions (51), we conducted one group spatial ICA across all participants, where we entered the preprocessed resting-state data (120 volumes each) from the pre-neurofeedback fMRI as session 1 and the data from the post-neurofeedback fMRI as session 2. We used the Infomax algorithm and estimated 20 independent components based on our previous study in healthy individuals (28). Using ICASSO (52) as implemented in GIFT, the ICA estimation was repeated 20 times to enhance component reliability. Taken together, this procedure resulted in

a set of group aggregate spatial maps (representing which brain regions constitute a network/component) and corresponding time courses of the BOLD signal change across time. For each component, single-subject spatial maps and time courses were then back-reconstructed for each participant and converted to  $z$ -scores, which denote the strength of each voxel's contribution to the component's time course (50, 53).

To identify the components corresponding to the SN and DMN, all spatial maps were first visually inspected for the presence of obvious artifacts, such as edges, ventricles, and white matter. Next, we used the spatial sorting function in GIFT to find the components whose spatial pattern showed the highest correlation with two *a priori* defined masks of the SN and DMN, respectively, as derived from our previous study in healthy participants (28). The resulting components were entered into second-level analyses in SPM8 (<http://www.fil.ion.ucl.ac.uk/spm/>) to examine differences in the strength of regional functional connectivity between sessions.

For each network, a statistical map was created by entering the single-subject spatial maps (pooled across sessions) into a voxel-wise one-sample  $t$ -test. This was thresholded at  $q < 0.05$  with false discovery rate (FDR) correction and saved as a mask (54, 55) for use in subsequent paired  $t$ -tests on the single-subject spatial maps corresponding to pre- and post-fMRI sessions. This was carried out to ensure that all findings would be restricted to brain regions actually contributing to the respective component. Given the novelty of our approach to studying the mechanisms of EEG neurofeedback in PTSD, we used a combined intensity and cluster size threshold of  $P < 0.005$  with a 20 voxel extent to achieve a reasonable balance between type I and type II errors (56). This extent threshold was in accordance with a cluster-extent correction according to random field theory (57).

#### Multiple regression analysis

In order to relate individual changes in functional connectivity of the SN and DMN to individual alpha amplitude and STAI/Thayer scale changes, we used the ImCalc function in SPM8 to calculate post-minus-pre  $z$ -score connectivity change maps ( $T_2 - T_1$ ) for each participant and network, which served as the dependent variable in multiple regression analyses. Specifically, we separately regressed 'training alpha change' and 'resting alpha change' (using both local and global indices of alpha amplitude) against individual  $z$ -score connectivity change maps of the two networks. Regarding

STAI and Thayer scale changes, we first conducted paired  $t$ -tests to compare the respective measures pre- to postneurofeedback and only ran multiple regression analyses for the scales that showed a significant change between the two time points. For those, we separately regressed the individual change scores ( $T_2 - T_1$ ) against the individual  $z$ -score connectivity change maps of the two networks. The results of all multiple regression analyses of  $z$ -score connectivity change maps were masked with the respective network mask and deemed significant when passing the aforementioned intensity and cluster size thresholds (56, 57). For scales showing a significant difference in the paired  $t$ -test, we also ran Pearson product moment correlations between the individual change scores ( $T_2 - T_1$ ) and 'training alpha change' and 'resting alpha change' respectively.

## Results

### Subjective results

Analysis of participants' self-reports suggested that the majority (17 of 21) had felt a sense of control over the spaceship and that the session had left them feeling more relaxed, calm, and clear-minded. Eight participants also reported greater fatigue, although one person specified that this tiredness was 'like that after yoga'. One person experienced mild drowsiness, and three participants reported feeling frustrated when they were unable to make the spaceship move. When asked about strategies employed to make the spaceship move (i.e., achieve a decrease in alpha amplitude), focused (visual) attention was among the most frequently endorsed answers. Finally, a number of participants reported that feeling positive emotions made the spaceship move faster, whereas trauma-related thoughts/memories would bring it to a halt. Notably, participants reported not being overwhelmed by these emotions/images in a way that they usually would be.

On a group level, a paired  $t$ -test did not show a significant pre- to postdifference in subjective feelings of state anxiety as assessed with the STAI ( $P = 0.17$ ). However, a comparison of the Thayer subscales between the two time points revealed a significant increase in calmness ( $t = 2.72$ ;  $df = 20$ ;  $P < 0.05$ ) after neurofeedback.

### EEG spectral analysis

Paired  $t$ -tests for both the Pz feedback site as well as the global average showed that on a group level subjects had significantly reduced their absolute

alpha band amplitude during neurofeedback compared to the first baseline (Pz:  $t = -3.19$ ; global:  $t = -3.21$ ;  $df = 20$ ;  $P < 0.05$ , Bonferroni-corrected). In contrast, paired  $t$ -tests revealed a significant *increase* in alpha amplitudes from the first to the second baseline (Pz:  $t = 3.54$ ; global:  $t = 3.67$ ;  $df = 20$ ;  $P < 0.05$ , Bonferroni-corrected), which was also reflected in the ‘resting alpha change’, that is, the normalized difference between the average alpha amplitudes during the second and first baseline (Fig. 1).

Regression analyses showed that absolute alpha amplitude at baseline 1 significantly predicted ‘training alpha change’ both at the Pz feedback site alone ( $r = 0.70$ ,  $P < 0.05$ ) as well as globally across all 19 electrodes ( $r = 0.77$ ,  $P < 0.05$ ). When controlling for absolute alpha amplitude at baseline 1, ‘training alpha change’ was negatively correlated with ‘resting alpha change’ for the global amplitude measure ( $r_{\text{partial}} = -0.52$ ,  $P < 0.05$ ; Pz:  $r_{\text{partial}} = -0.42$ ,  $P = 0.06$ ). In other words, the greater the relative reduction in alpha amplitude during neurofeedback, the greater the alpha resting-state ‘rebound’ afterward.

fMRI connectivity analysis

*Independent component identification.* For the SN and DMN, components were identified whose spatial properties were highly correlated with the *a priori* defined mask and included brain regions previously implicated in the two networks (10, 58).

*SN and DMN functional connectivity pre- vs. postneurofeedback.* For the SN, a paired  $t$ -test revealed significantly increased functional connectivity after

neurofeedback with the right middle insula (Montreal Neurological Institute (MNI) coordinates: 40, -2, 10;  $t = 4.49$ ;  $k = 36$ ), the left posterior insula (MNI: -36, -12, 14;  $t = 4.31$ ;  $k = 37$ ), bilateral superior temporal gyri (left: MNI: -62, -40, 20;  $t = 4.34$ ;  $k = 27$ ; right: MNI: 46, 14, -24;  $t = 4.19$ ;  $k = 69$ ), the left dACC (MNI: -10, 26, 40;  $t = 4.15$ ;  $k = 57$ ), and the right inferior frontal gyrus (MNI: 32, 28, -18;  $t = 3.63$ ;  $k = 47$ ; Fig. 2a). No regions showed a significant decrease in functional connectivity with this network after neurofeedback.

For the DMN, a paired  $t$ -test showed significantly increased functional connectivity after neurofeedback with bilateral subgenual anterior cingulate (sgACC; MNI: -6, 30, -6;  $t = 4.47$ ;  $k = 130$ ) and bilateral middle frontal gyri (left: MNI: -26, 64, 4;  $t = 4.07$ ;  $k = 41$ ; right: MNI: 24, 50, -2;  $t = 3.70$ ;  $k = 30$ ; Fig. 2b). A significant decrease in DMN functional connectivity was found in the right middle temporal gyrus (MNI: 52, -32, -8;  $t = 4.30$ ;  $k = 40$ ) and PCC (MNI: 12, -54, 24;  $t = 3.74$ ;  $k = 24$ ).

Relationship between changes in alpha amplitude and network connectivity

*Saliency network.* To investigate whether individual changes in functional connectivity within the SN were related to a participant’s change in alpha amplitude during the neurofeedback, we separately regressed global ‘training alpha change’ as well as ‘resting alpha change’ against individual  $z$ -score connectivity change maps of the SN. As can be seen in Table 2, global ‘training alpha change’ was negatively correlated with SN connectivity changes

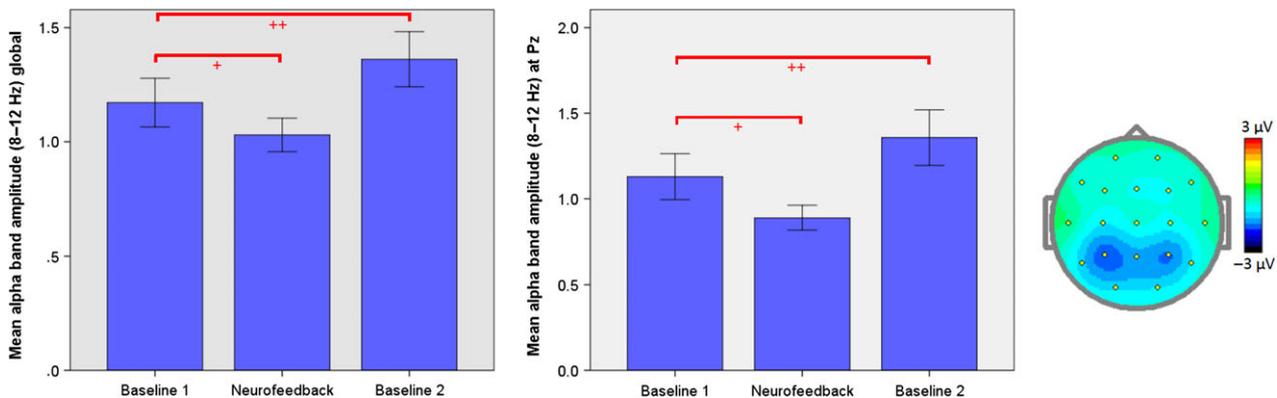


Fig. 1. Left and middle: Bar graphs show group mean alpha (8–12 Hz) amplitudes (calculated offline using a weighted average Laplacian montage) averaged across all subjects for Baseline 1, neurofeedback (NFB), and Baseline 2, respectively, globally over all 19 electrodes (left) and at the Pz feedback site only (middle). Stars indicate the level of statistical significance, with \* indicating a threshold of  $P < 0.05$  and \*\* indicating a threshold of  $P < 0.005$ . Error bars represent 1 standard error of the mean (SEM). Right: Topographic plot of mean alpha amplitude change during neurofeedback relative to the first baseline. Colors indicate magnitude of reductions in amplitude ( $\mu\text{V}$ ).

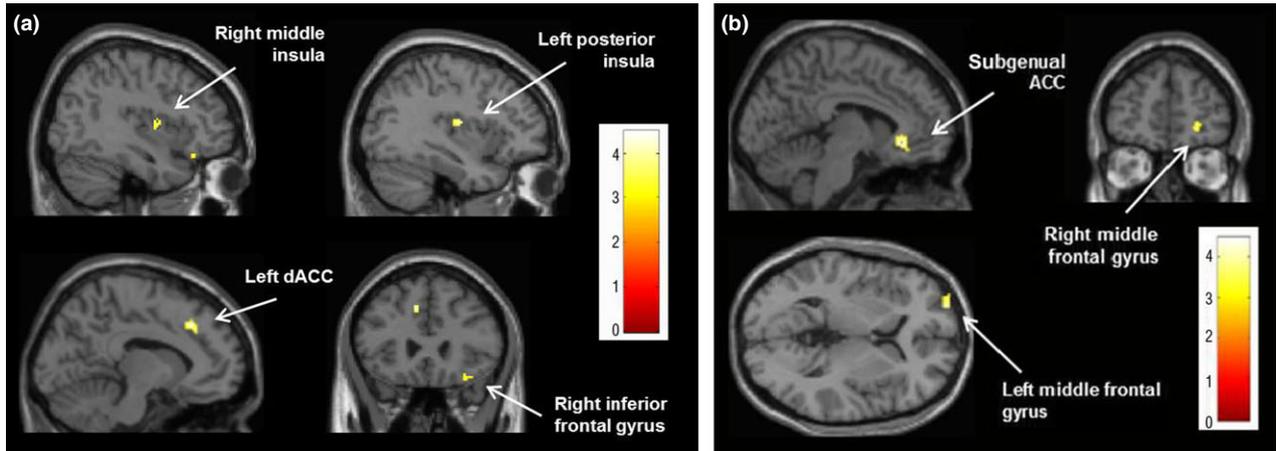


Fig. 2. Clusters showing increased functional connectivity after neurofeedback ( $P < 0.005$ , corrected) for the (a) salience network and the (b) default mode network.

in the right mid-/posterior insula, which was also confirmed when using ‘training alpha change’ at Pz. In other words, the more participants were able to reduce their alpha amplitude during neurofeedback relative to the first baseline, the greater their increase in SN connectivity with the right insula. Additionally, changes in coupling of the right mid-/posterior insula with the rest of the SN were positively correlated with global ‘resting alpha

change’, indicating that a strong alpha ‘rebound’ was associated with a larger increase in SN insula connectivity.

In contrast, global ‘resting alpha change’ was negatively correlated with changes in SN connectivity with the right superior frontal gyrus, the anterior/mid-cingulate gyrus, the right superior temporal gyrus, and the right dACC. For these brain regions, connectivity with the rest of the SN decreased with increasing alpha ‘rebound’ and *vice versa* (Table 2).

Table 2. Brain regions showing a significant relationship between changes in alpha amplitude (global) and functional connectivity ( $P < 0.005$ , corrected)

| Brain region  | MNI coordinates | t Score | Number of voxels |
|---|-----------------|---------|------------------|
| <i>(a) Salience network</i>                         |                 |         |                  |
| Positive correlation w/global training alpha change |                 |         |                  |
| –   | –               | –       | –                |
| Negative correlation w/global training alpha change |                 |         |                  |
| R mid-/posterior insula (BA 13)                     | 40, -4, 14      | 4.37    | 92               |
| Positive correlation w/global resting alpha change  |                 |         |                  |
| R mid-/posterior insula (BA 13)                     | 40, -12, 4      | 3.65    | 29               |
| Negative correlation w/global resting alpha change  |                 |         |                  |
| R superior frontal gyrus (BA 8)                     | 4, 12, 54       | 4.22    | 42               |
| L/R anterior/mid-cingulate gyrus (BA 24)            | 0, 14, 34       | 3.95    | 63               |
| R superior temporal gyrus (BA 21)                   | 48, -8, -16     | 3.60    | 34               |
| R dorsal anterior cingulate (BA 32)                 | 2, 26, 28       | 3.46    | 26               |
| <i>(b) Default mode network</i>                     |                 |         |                  |
| Positive correlation w/global training alpha change |                 |         |                  |
| L angular gyrus (BA 39)                             | -46, -70, 34    | 4.47    | 33               |
| R putamen   | 30, -2, -12     | 3.37    | 21               |
| Negative correlation w/global training alpha change |                 |         |                  |
| R middle frontal gyrus (BA 11)                      | 26, 52, -14     | 4.77    | 60               |
| L precuneus (BA 7)                                  | -6, -58, 52     | 4.04    | 36               |
| Positive correlation w/global resting alpha change  |                 |         |                  |
| L PCC (BA 29, 30)                                   | -8, -50, 10     | 5.04    | 113              |
| R PCC (BA 30)                                       | 6, -56, 6       | 3.92    | 48               |
| R middle frontal gyrus (BA 10)                      | 40, 44, 8       | 4.90    | 42               |
| L dorsomedial PFC (BA 9)                            | -2, 56, 18      | 3.68    | 23               |
| Negative correlation w/global resting alpha change  |                 |         |                  |
| R postcentral gyrus (BA 3)                          | 40, -24, 56     | 5.83    | 115              |

BA, Brodmann area; MNI, Montreal Neurological Institute.

*Default mode network.* When we regressed indices of ‘training alpha change’ against individual z-score connectivity change maps of the DMN, we observed a positive correlation between global (as well as Pz) ‘training alpha change’ and DMN connectivity in the left angular gyrus and the right putamen. DMN connectivity changes in the right middle frontal gyrus and left precuneus were negatively correlated with global and Pz ‘training alpha change’. Both indices of ‘resting alpha change’, on the other hand, were positively correlated with changes in DMN connectivity with bilateral PCC and the right middle frontal gyrus. In addition, we found a positive correlation for the left mPFC with global ‘resting alpha change’ only. A negative correlation between changes in DMN connectivity and ‘resting alpha change’ was revealed in the right postcentral gyrus for both global and Pz alpha change values (Table 2).

Relationship between calmness and changes in alpha amplitude

We computed Pearson product moment correlations between the individual change scores for calmness ( $T_2 - T_1$ ), vs. ‘training alpha change’ and

‘resting alpha change’ respectively. These analyses were non-significant ( $P = 0.91$ ) for ‘training alpha change’, but revealed a trend toward a significant correlation between changes in calmness and ‘resting alpha change’ in the sense that an increase in alpha amplitude from the first to the second baseline EEG was related to enhanced calmness (global:  $r = 0.41$ ,  $P = 0.07$ ; Pz:  $r = 0.39$ ,  $P = 0.08$ ) after neurofeedback.

Relationship between calmness and changes in network connectivity

We also performed a regression analysis, in which we regressed the individual change scores for calmness ( $T_2 - T_1$ ) against the individual  $z$ -score connectivity change maps of the SN and DMN respectively. At our combined voxel-wise and cluster-corrected threshold, this analysis revealed that an increase in calmness was associated with an increase in SN connectivity with left posterior insula (left: MNI:  $-36, -20, 6$ ;  $t = 5.54$ ;  $k = 163$ ), left superior temporal gyrus (MNI:  $-62, 2, -2$ ;  $t = 4.81$ ;  $k = 23$ ), left middle temporal gyrus (MNI:  $-50, -22, 12$ ;  $t = 4.51$ ;  $k = 91$ ), left inferior frontal gyrus (MNI:  $-32, 28, -14$ ;  $t = 4.35$ ;  $k = 27$ ), and right superior frontal gyrus (MNI:  $28, 40, 44$ ;  $t = 3.29$ ;  $k = 22$ ; Fig. 3). Although slightly below our predefined extent threshold, a cluster in the right posterior insula (MNI:  $38, -6, 0$ ;  $t = 3.95$ ;  $k = 19$ ) also showed greater connectivity with the SN with increasing calmness.

Regarding the DMN (Fig. 3), more calmness was related to an increase in network connectivity with the left superior frontal gyrus (MNI:  $-18, 40, 36$ ;  $t = 4.12$ ;  $k = 43$ ), bilateral dorsomedial PFC (MNI:  $-4, 54, 8$ ;  $t = 3.97$ ;  $k = 102$ ), and the right medial frontal gyrus (MNI:  $4, -22, 64$ ;  $t = 3.83$ ;  $k = 43$ ). In contrast, decreases in DMN connectivity with increased calmness were found for the left sgACC (MNI:  $-6, 24, -14$ ;  $t = 4.30$ ;  $k = 45$ ), the left middle temporal gyrus (MNI:  $-60, -28, -10$ ;  $t = 4.10$ ;  $k = 40$ ), and the left claustrum (MNI:  $-28, 14, -14$ ;  $t = 3.75$ ;  $k = 40$ ).

Binary covariate analyses with current medication status and comorbid depression

To examine whether our findings were influenced by participants’ use of medications, we included current medication status as a binary covariate (0 = absent, 1 = present) in all of the aforementioned paired  $t$ -tests and regression analyses. This did not change the results beyond slight variations in the number of voxels per cluster. Likewise, covarying out a diagnosis of current depression did

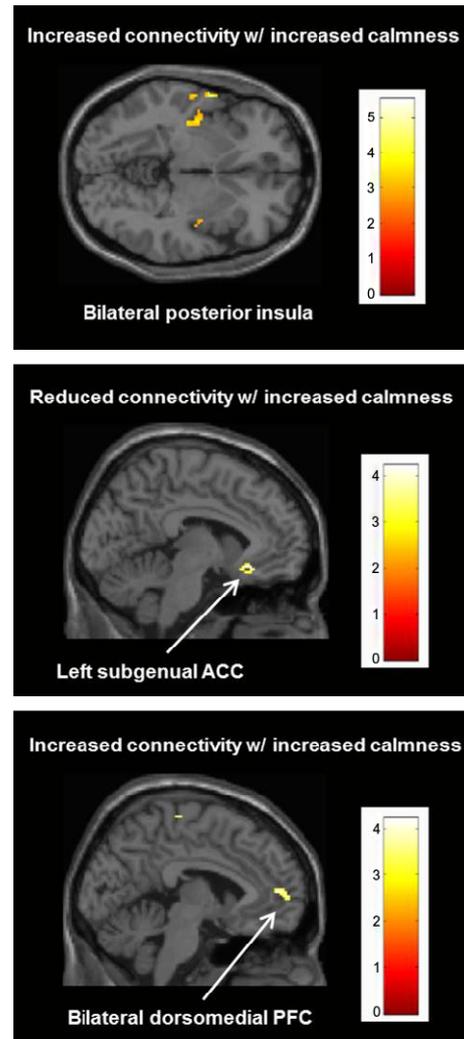


Fig. 3. Top panel: Clusters exhibiting a significant relationship between changes in calmness (Thayer subscale) and salience network connectivity ( $P < 0.005$ , corrected). Middle and bottom panels: Clusters exhibiting a significant relationship between changes in calmness (Thayer subscale) and default mode network connectivity ( $P < 0.005$ , corrected).

not significantly change the results for SN and DMN connectivity.

## Discussion

In the current study, we examined whether a single session of voluntary reduction of EEG alpha (8–12 Hz) amplitude could induce a significant change in resting-state fMRI connectivity within the salience network (SN) and default mode network (DMN), and whether these changes would be related to alterations in EEG and subjective measures of calmness and anxiety. Participants were successful in reducing their mean alpha amplitude during neurofeedback as compared to their resting baseline. Intriguingly, however, this was followed by a significant increase (or ‘rebound’) in resting

alpha synchronization immediately after neurofeedback. Inspecting the resting-state fMRI findings, a comparison of functional connectivity maps revealed significant pre- to postneurofeedback differences in functional coupling within the SN and DMN, including the bilateral mid-/posterior insula, left dACC, bilateral superior temporal gyri, right inferior frontal gyrus, sgACC, medial prefrontal, and posterior cingulate cortices. These findings replicate in part previous results in healthy individuals who underwent the same neurofeedback protocol and showed enhanced pre- to post-SN connectivity with the dACC during an auditory oddball task (28). Given this concordance and the fact that the study's sham-control subjects failed to show significant effects, it is plausible that the observed increases in SN connectivity in the PTSD group are directly linked to the neurofeedback intervention and modulation of alpha activity.

This interpretation is further strengthened by the observed negative correlation between greater postneurofeedback dACC connectivity and resting alpha synchronization, consistent with our previous findings (28). Interestingly, however, changes in alpha amplitude during training did not predict changes in pre- to post-training resting alpha amplitude in the same way across studies. In fact, greater alpha desynchronization (i.e., attenuation) during neurofeedback was associated with lower resting-state alpha amplitude in healthy individuals pre- vs. postneurofeedback, while it was linked to a stronger alpha 'rebound' (i.e., a post-training increase in alpha amplitude following suppression of the alpha rhythm during training) in patients with PTSD. Such homeostatic rebound phenomena have previously been observed in studies of physical exercise and non-invasive brain stimulation (59, 60). Likewise, motor acts (whether executed, observed or imagined) induced alpha and/or beta desynchronization followed by rebounds of alpha and/or beta power (61). Interestingly, the observed increase in baseline alpha amplitudes pre- to postneurofeedback may be linked to the reported increases in calmness and relaxation following neurofeedback aimed to directly increase alpha rhythms (29, 47). Given that baseline alpha power has previously been associated with measures of trait as well as state anxiety (62, 63), it is also conceivable that the observed difference in the levels of post-training alpha between individuals with PTSD and healthy participants may be related to different levels of anxiety. This mechanism is directly supported within our data by an intra-individual correlation of  $r = 0.4$  ( $P = 0.07$ ) between post-training alpha changes and increases

in calmness. In PTSD, the positive effect of increased resting alpha synchronization is directly in line with the therapeutic benefit of alpha-theta neurofeedback training in combat veterans as pioneered by Peniston and Kulkosky (64–67). An intriguing hypothesis is that this may be due to a 're-normalization' of network oscillations, supported by findings of abnormally decreased alpha power in PTSD (68). Although any interpretation of these findings has to remain speculative, we suggest that the pre- to post-training increase in resting alpha amplitude may reflect an inhibition and decreased arousal of cerebral cortex (69).

On the other hand, changes in cortical oscillations may equally shift network connectivities. In line with previous research, resting alpha synchronization correlated positively with insula connectivity (70). Hence, the stronger the alpha 'rebound' after neurofeedback in patients with PTSD, the greater the observed increase in SN connectivity with the right insula and the smaller the increase in SN connectivity with the dACC. The proposed rebound mechanism is supported by the fact that decreases in alpha amplitude during neurofeedback also significantly predicted greater pre- to postneurofeedback connectivity with the right insula. On the other hand, the negative correlation between dACC connectivity and resting alpha synchronization is consistent with the proposed functions of this brain region in attention/alertness modulation and the neurobehavioural correlates of alpha activity (28). Specifically, the dACC has been implicated in the mediation of cognitive control (71), selective attention (72), and emotional arousal (73), which have also been linked to variations of the alpha rhythm (36, 40, 74). Alpha rhythm desynchronization has been reported to reflect a state of externally oriented visual attention (35), while its synchronization is associated with more inwardly directed attention and an inhibition of sensory cortices (69, 75).

Given the proposed functions of the insular cortex, future studies using additional behavioural measures should investigate whether alpha rhythm synchronization and the observed increases in insula connectivity and subjective feelings of calmness are in fact related to enhanced interoceptive/emotional awareness, self-reflection, and/or an improved regulation of affective states in patients with PTSD (20, 21, 76).

With regard to the DMN, we replicated previous findings of a positive relationship between changes in alpha synchronization and functional connectivity/activity (28, 32, 38, 39). Moreover, participants evidenced increased pre- to postneurofeedback DMN connectivity with bilateral sgACC and

middle frontal gyri, as well as pre- to post-decreases in coupling with the right middle temporal gyrus and PCC. These brain regions are part of the neural circuitry subserving the processing of self-relevant stimuli (77–80) and their subjective emotional evaluation (81, 82). Based on previous findings of reduced DMN connectivity in patients with PTSD (15, 16) and the proposed role of prefrontal brain regions in self-reflection and emotion regulation (83, 84), we speculate that the observed increases in DMN coupling may represent a shift toward greater reflective and self-regulatory capacities (85, 86).

An increase in calmness as measured by the Thayer scale predicted a decrease in connectivity with the sgACC, on the one hand, and greater coupling with the dorsomedial PFC and superior frontal gyrus, on the other. At first sight, this negative correlation between postneurofeedback calmness and DMN connectivity with the sgACC may seem to contradict the previously reported group effect of increased DMN connectivity with this brain region, as revealed by the paired *t*-test. Given that the sgACC has been implicated in major depression and excessive rumination (87–89), we speculate that increased calmness after neurofeedback may be associated with less negative self-evaluation (82) mediated by reduced connectivity with the sgACC, on the one hand, and enhanced self-reflection mediated by an additional recruitment of the dorsomedial PFC (and insula) (21, 85), on the other. In other words, while the paired *t*-test result of enhanced sgACC connectivity may reflect an increase in the brain's general capacity to engage in self-reflection and emotion regulation (90), the content of such reflections may, in fact, be less negative with increasing calmness.

Several limitations of our study are worth noting. First, because our neurofeedback protocol has recently been validated in a randomized, placebo-controlled study in healthy individuals, we decided not to include a sham-feedback procedure in the current study with PTSD patients, as we did not want to augment any feelings of frustration and failure, and/or hamper participants' chances of learning from real feedback in the future (47). However, given the lack of a between-subject group comparison (e.g., a healthy control and/or another patient group) as well as a within-subject comparison condition (such as an attentional training or meditation task), we cannot determine whether the observed changes in SN and DMN connectivity are specific to neurofeedback-induced changes in alpha synchronization rather than being dependent on general effects of attentional deployment. To clarify this issue, future studies should

include a psychiatric control group with disorders other than PTSD and contrast the effects of alpha desynchronizing neurofeedback with the benefits of general instructions to relax and/or focus one's attention in a certain way. Moreover, future studies should compare our protocol to the use of other neurofeedback procedures targeting different frequency bands and/or feedback locations. Regarding the question of specificity to PTSD, it is especially noteworthy that the majority of patients in our sample either met criteria for MDD at the time of scanning or at some point in their past (10). Thus, we cannot rule out the possibility that our findings may have been additionally influenced by comorbid depression rather than PTSD *per se*. To partially address this issue, we conducted additional subgroup analyses to compare PTSD patients with and without a current diagnosis of MDD. In the case of EEG alpha changes, we did not find significant group differences—neither for 'resting alpha change' nor for 'training alpha change', which means that the two groups did not differ in terms of regulation success. Moreover, covarying out a diagnosis of current depression did not significantly change the results for SN and DMN connectivity. However, given that the group of individuals with comorbid MDD also had significantly higher CAPS scores ( $P < 0.05$ ), it will still be up to future studies to disentangle the individual contributions of PTSD and MDD respectively.

Forthcoming investigations using simultaneous and continuous EEG/fMRI recordings during both the resting-state and neurofeedback are also needed to further our understanding of the relationship between alpha modulations, brain network connectivity, and subjective experiences during the resting state. In addition, it has to be noted that our sample included mainly women, thus limiting the generalizability of our findings to female patients with PTSD. On a related note, it would also be interesting to assess the effects of our neurofeedback protocol in a military population with combat-related PTSD, because this population has traditionally been difficult to treat. Finally, it will be important for future studies to explore whether the observed short-term effects generalize to longer time-scales following repeated applications of the neurofeedback protocol.

To conclude, alpha rhythm desynchronizing neurofeedback in PTSD was associated with an alpha rebound, which was linked to increased calmness and greater SN connectivity with the right insula, and enhanced DMN connectivity with bilateral posterior cingulate, right middle frontal gyrus, and left medial prefrontal cortex. This study thus showed that the function of key brain

networks involved in mediating affect and cognition can be volitionally modulated in persons with PTSD with measurable outcomes on immediate subjective wellbeing. Future studies examining EEG-based neurofeedback as an adjunctive treatment to established interventions for PTSD are therefore warranted.

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